

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

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IN RE JPMORGAN TREASURY FUTURES  
SPOOFING LITIGATION

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Case No.: 1:20 Civ. 03515

Hon. Paul A. Engelmayer

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THIS DOCUMENT RELATES TO:  
ALL ACTIONS

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**CLASS PLAINTIFFS' NOTICE OF MOTION  
FOR PRELIMINARY APPROVAL OF CLASS ACTION SETTLEMENT WITH  
JPMORGAN CHASE & CO., J.P. MORGAN CLEARING CORP., J.P.  
MORGAN SECURITIES LLC, AND J.P. MORGAN FUTURES, INC.**

PLEASE TAKE NOTICE that, upon the accompanying memorandum of law, the Joint Declaration of Vincent Briganti and Karen M. Lerner, dated September 22, 2021 and the exhibits attached thereto including the Settlement Agreement, and the record herein, Plaintiffs Charles Herbert Proctor, III, Synova Asset Management, LLC, Robert Charles Class A, L.P., Thomas Gramatis, Budo Trading LLC, Kohl Trading LLC, M & N Trading L.L.C., Port 22 LLC, and Rock Capital Markets LLC (collectively, “Class Plaintiffs”), by and through their undersigned counsel, will respectfully move this court, before the Honorable Paul A. Engelmayer, United States District Judge, at the United States District Court, Southern District of New York, 40 Foley Square, New York, New York at a date and time to be determined by this Court, for an order granting Class Plaintiffs’ motion for preliminary approval of the settlement with Defendants JPMorgan Chase & Co., J.P. Morgan Clearing Corp. (now known as J.P. Morgan Securities LLC), J.P. Morgan Securities LLC, and J.P. Morgan Futures, Inc. (now known as J.P. Morgan Securities LLC) (collectively, “JPMorgan”) and the other relief set forth in the proposed order filed herewith.

Dated: September 22, 2021

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